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### **Review**

# Elegant design of electrode and electrode/ electrolyte interface in lithium-ion batteries by atomic layer deposition

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### Abstract

Lithium-ion batteries (LIBs) are very promising power supply systems for a variety of applications, such as electric vehicles, plug-in hybrid electric vehicles, grid energy storage, and microelectronics. However, to realize these practical applications, many challenges need to be addressed in LIBs, such as power and energy density, cycling lifetime, safety, and cost. Atomic layer deposition (ALD) is emerging as a powerful technique for solving these problems due to its exclusive advantages over other film deposition counterparts. In this review, we summarize the state-of-the-art progresses of employing ALD to design novel nanostructured electrode materials and solid-state electrolytes and to tailor electrode/electrolyte interface by surface coatings in order to prevent unfavorable side reactions and achieve optimal performance of the electrode. Insights into the future research and development of the ALD technique for LIB applications are also discussed. We expect that this review article will provide resourceful information to researchers in both fields of LIBs and ALD and also will stimulate more insightful studies of using ALD for the development of next-generation LIBs.

Keywords: atomic layer deposition, lithium-ion battery, surface coating, electrode material, electrode/electrolyte interface

(Some figures may appear in colour only in the online journal)

### 1. Introduction

At present, there is growing awareness of the necessity to develop electric vehicles (EVs) and plug-in hybrid electric vehicles (PHEVs) in order to reduce fossil fuel dependence and improve environmental stewardship. Lithium-ion batteries (LIBs) have been considered as one of the most promising energy storage systems for EVs and PHEVs because they can offer a higher operative voltage and energy density than the other available competing technologies [1, 2]. The interest in EVs and PHEVs has stimulated research in both industry and academia to develop the next generation of LIBs for EVs and PHEVs that can match the performance of internal combustion vehicles, and great advances have been made so far. For example, in 2010, General Motors introduced Chevrolet Volt EVs into the market, which can travel up to 40 miles using its LIB pack [3]. In late 2010, Nissan introduced the Leaf, a 100-mile range EV that used an advanced LIB as its only power source [3]. However, to compete with internal combustion vehicles, the PHEVs and EVs powered by LIBs should travel a 40–80 mile range and a 300–400 mile range, respectively, on a single charge [2]. Therefore, big challenges still remain for rechargeable lithium batteries in terms of energy density, cycling lifetime, safety, and cost.

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Figure 1. The number of publications on (a) ALD from 2003–2013 and (b) ALD for LIB application from 2009–2013, analysed from the Web of Science.

Since the performance of LIBs is dependent on the choice of electrode materials, it is inevitable to develop new electrode materials with highly desirable electrochemical properties such as high specific capacity, appropriate working voltages, and high cycling stability [4]. In recent years, designing nanostructured electrode materials has been a widely used and effective approach to boosting the performance of LIBs [5-7]. Nanostructured anode and cathode materials can provide many advantages for LIBs such as short path length for electron and lithium ion transport, high electrode/electrolyte contact area for fast charging and discharging, and good accommodation of strain during lithium insertion/removal [5]. A wide range of chemical and physical methods have been developed for synthesis of nanostructured materials, such as sol-gel, hydrothermal, chemical vapor deposition, and pulsed laser deposition [8]. After choosing an electrode material, surface coating is an additional step to further improve the electrochemical performance by inhibiting unfavorable phenomena from occurring in the electrode and/or at the electrode/electrolyte interface [9, 10]. Surface coating has generally been done by solution-based methods, which first involve mixing electrode materials with precursors then annealing the mixtures at elevated temperatures to form a coating layer. The post-annealing sometimes results in structural change in the active electrode materials.

Atomic layer deposition (ALD) has attracted increasing attention in the development of next-generation LIBs in recent years [11–13]. ALD is a thin film deposition technique that is based on the sequential use of self-limiting gas-solid reactions [14–16]. Different from other film deposition methods, such as chemical vapor deposition and sputtering, in an ALD process the chemical source vapors are introduced into the reaction chamber alternately, one at a time, separated by complete purging with an inert gas. Each precursor exposure step saturates the surface, resulting in self-limiting film growth [14–16]. The unique reaction mechanism enables ALD many exclusive advantages: (i) extremely uniform and conformal deposition of thin films, even on high-aspect-ratio three-dimensional (3D) substrates [17]; (ii) precisely controlled film thickness at the nanoscale level; and (iii) low

deposition temperatures (even down to room temperature (RT)) [14–16]. Due to these advantages, ALD has been used as a powerful technique for synthesis and surface engineering of various types of nanostructures for a wide range of applications [18–24]. The rising number of scientific publications on ALD per year, as shown in figure 1(a), testifies to the increasing world-wide interest in ALD. Two review articles published in 2012 have highlighted the importance of ALD in designing novel nanostructured electrode materials and in realizing desirable surface coatings on the electrode in LIBs [11, 12]. On the other hand, a considerable number of studies reporting the application of ALD in LIBs (figure 1(b)) justifies the necessity to review recent advances in this field-in particular, rational design of electrode and electrode/electrolyte interface for LIBs. Herein, we will summarize up-to-date applications of ALD in the fabrication of electrode materials and solid-state electrolytes and in the realization of desirable surface coatings on electrodes. Focus will be given to the most recent progresses in the above two directions. In addition, insights into the future research of ALD applications in LIBs will also be discussed at the end.

## 2. Fabrication of electrode materials and solid-state electrolytes by ALD

The development of electrode materials and solid-state electrolytes by ALD can be divided into two strategies. One is to design novel anode and cathode nanocomposites, which can be directly used as electrode materials in LIBs. The other is to coat electrodes and solid-state electrolyte thin films into twodimensional (2D) and 3D substrates, with the aim of eventually fabricating 2D and 3D all-solid-state microbatteries. In particular, ALD appears the most promising method for the fabrication of 3D microbatteries, due to its great capability to coat conformal and pinhole-free films in high-aspect-ratio 3D substrates [12, 13, 25, 26]. Advances in these two approaches first require the establishment of ALD processes for target electrode materials and solid-state electrolytes. Table 1 summarizes the anode, cathode, and solid-state electrolyte



**Figure 2.** SEM images of (a) crystalline and (b) amorphous  $SnO_2/GNS$ ; (c) cycling stability of crystalline and amorphous  $SnO_2/GNS$  in comparison with graphene. Reproduced with permission from Wiley [31].

materials that have been synthesized by ALD and demonstrated for battery applications [27–53].

### 2.1. Anodes by ALD

Anodes prepared by ALD are mainly transition metal oxides (TiO<sub>2</sub> [27–30], SnO<sub>2</sub> [31, 32], and Co<sub>3</sub>O<sub>4</sub> [33]), partially due to their mature ALD processes. These metal oxides have high theoretical capacities (such as  $790 \text{ mAh g}^{-1}$  for  $\text{SnO}_2$  and 890 mAh  $g^{-1}$  for Co<sub>3</sub>O<sub>4</sub>) but suffer from significant drawbacks that hinder their practical applications in LIBs, such as large volume change for SnO<sub>2</sub> and low electronic and ionic conductivities for  $TiO_2$  [7, 54]. A widely recognized approach to overcome these disadvantages is to combine metal oxides with carbonaceous nanomaterials, including graphene and carbon nanotubes (CNTs) [7, 29, 31, 54]. From this aspect, ALD provides a non-aqueous and versatile way to prepare metal oxide/carbon nanocomposites [55]. The morphological, structural, and electrochemical properties of metal oxides can be tailored by controlling the ALD parameters (precursors, temperature) [14, 31] and the surface functionality of carbon substrates [55, 56].

One typical example is amorphous and crystalline  $SnO_2$  deposited on graphene nanosheets (GNSs) by ALD from  $SnCl_4$  and  $H_2O$  at 200°–400 °C, respectively (see figures 2(a) and (b) for their morphologies) [31]. The difference in crystallinity and morphology was believed to be due to changes in the surface functional groups and reaction mechanisms at different deposition temperatures [56]. As shown in figure 2(c), electrochemical testing indicated that amorphous

SnO<sub>2</sub>/GNS underwent a steady increase in its capacity after the first 10 cycles and achieved a discharge capacity of ~800 mAh g<sup>-1</sup> after 150 cycles. For crystalline SnO<sub>2</sub>/GNS, the capacity continuously decreased in the first 70 cycles and thereafter stabilized at ~800 mAh g<sup>-1</sup>. It was argued that the isotropic nature of amorphous SnO<sub>2</sub> and the flexible GNS matrix could better accommodate the volume change upon cycling, thereby achieving better electrochemical performance than crystalline SnO<sub>2</sub>/GNS [31]. This work demonstrated the flexibility of ALD in controlling morphology, crystallinity, and electrochemical performance of the anode.

Recent research has reported ALD processes for lithium titanate ( $Li_4Ti_5O_{12}$ ) [34, 35], which is a 'zerio-strain' anode material with a flat working voltage at ~1.5 V (*vs* Li<sup>+</sup>/Li) [54]. Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub> was deposited at 225 °C by combining Li<sub>2</sub>O (LiO'Bu–H<sub>2</sub>O) and TiO<sub>2</sub> (TTIP–H<sub>2</sub>O) ALD subcycles [34, 35]. The composition of Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub> could be controlled by varying the subcycle ratio between Li<sub>2</sub>O and TiO<sub>2</sub>. An amorphous Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub> film with a Li/Ti ratio of 1.5 was obtained at the asdeposited state. It became a crystalline spinel structure after annealing at temperatures between 640°–950 °C. The crystalline Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub> was proven electrochemically active [57].

A few cases have been demonstrated the use of ALD to fabricate 3D  $TiO_2$  electrodes on Al nanorods [27] and Ni nanowires [28]. The 3D  $TiO_2$  electrodes exhibited dramatically increased areal capacity compared to their 2D counterparts [27, 28]. Nevertheless, the fabrication of 2D and 3D allsolid-state microbatteries by ALD is still in its early stages. Advances in this field depend highly on the development of ALD processes for all the battery components, including the anode, solid-state electrolyte, and cathode. At present, various metal oxides by ALD are available and can be directly adopted as the anode for all-solid-state microbatteries [14, 27, 28]. The focus should be given to the cathode and solid-state electrolyte, which is more challenging to be synthesized by ALD, as shown below.

#### 2.2. Cathodes by ALD

Cathode materials prepared by ALD include non-lithiated  $(V_2O_5 [38-40]$  and FePO<sub>4</sub> [41]) and lithiated materials (LiCoO<sub>2</sub> [42], LiMn<sub>2</sub>O<sub>4</sub> [43], and LiFePO<sub>4</sub> [44]). Crystalline V2O5 was readily obtained by ALD without any thermal posttreatment and from VTOP and O3 in a temperature window of 170–185 °C [38, 39]. The  $V_2O_5$  film grown on Au-coated stainless steel disks showed an initial specific discharge capacity of  $142 \text{ mAh g}^{-1}$  in the potential range of 2.6–4.0 V, as well as excellent rate capability and cycling stability. Amorphous FePO<sub>4</sub> was deposited by ALD using a combination of Fe(thd)-O<sub>3</sub> and TMP-H<sub>2</sub>O/O<sub>3</sub> at temperatures between 196°-376 °C [41]. The amorphous FePO<sub>4</sub> on stainless steel exhibited exceptional cyclability and capacity close to its theoretical value  $(178 \text{ mAh g}^{-1})$  at 1C. However, the non-lithiated cathodes do not contain lithium ions, and therefore cannot be used in full cells when combined with an anode (such as metal oxides by ALD).

The focus of recent work has been the development of ALD processes for lithiated cathode materials (LiCoO<sub>2</sub> [42],

	Material	Substrate	Precursors	Temperature	Ref.
Anode	TiO <sub>2</sub>	Al nanorods	TiI <sub>4</sub> –H <sub>2</sub> O	200 °C	27
	TiO <sub>2</sub>	Ni nanowires	TiCl <sub>4</sub> –H <sub>2</sub> O	120 °C	28
	TiO <sub>2</sub>	graphene	TiCl <sub>4</sub> –H <sub>2</sub> O	120 °C	29
	TiO <sub>2</sub>	peptide	TTIP-NH <sub>3</sub> /O <sub>2</sub>	140 °C	30
	$SnO_2$	graphene	SnCl <sub>4</sub> -H <sub>2</sub> O	200, 400 °C	31
	$SnO_2$	SS	$Sn(O^{t}Bu)^{5}-O^{-}$ ions	200 °C	32
	$Co_3O_4$	TiN/Si	CoCp <sub>2</sub> –plasma O <sub>2</sub>	300 °C	33
	Li <sub>4</sub> Ti <sub>5</sub> O <sub>12</sub>	NCNTs	$(\text{LiO}^{T}\text{Bu}-\text{H}_{2}\text{O}) + (\text{TTIP}-\text{H}_{2}\text{O})$	225 °C	34
	$Li_xTi_yO_z$	Si	$(LiO^{t}Bu-H_{2}O) + (TTIP-H_{2}O)$	225 °C	35
	$GaS_x$	Si, CNTs	$Ga_2(NMe_2)_6-H_2S$	125–225 °C	36, 37
Cathode	$V_2O_5$	Si, SS, AAO, CNTs	VTOP-O <sub>3</sub>	170–185 °C	38, 39
	$V_2O_5$	Si, glass, SS	VO(thd) <sub>2</sub> –O <sub>3</sub>	215 °C	40
	FePO <sub>4</sub>	Si, glass, SS	$(Fe(thd)_3-O_3) + (TMP-H_2O/O_3)$	196–376 °C	41
	LiCoO <sub>2</sub>	Si/SiO <sub>2</sub> , Si/TiO <sub>2</sub> /Pt	$(CoCp_2$ -plasma O <sub>2</sub> ) + $(LiO^tBu$ -plasma O <sub>2</sub> )	325 °C	42
	$Li_xMn_2O_4$	Si, SS	$(Mn(thd)_3-O_3) + (Li(thd)-O_3)$	225 °C	43
	LiFePO <sub>4</sub>	Si, CNTs	$5 \times (FeCp_2 - O_3 - TMP - H_2O) + (LiO^tBu - H_2O)$	300 °C	44
Solid-state electrolyte	$(Li,La)_x Ti_y O_z$	Si, glass	$(TiCl_4-H_2O) + (La(thd)_3-O_3) + (LiO'Bu-H_2O)$	225 °C	45
	LiAlO <sub>x</sub>	Si, Teflon	$(LiO^{t}Bu-H_{2}O) + (TMA-H_{2}O)$	225 °C	46, 47
	Li <sub>3</sub> PO <sub>4</sub>	Si, glass	LiO <sup>t</sup> Bu or LiHMDS–TMP	225–350 °C	48
	Lithium silicate	Si	LiHMDS-O <sub>3</sub>	150–400 °C	49
	LiTaO <sub>3</sub>	Si	$(LiO^{t}Bu-H_{2}O) + (Ta(OEt)_{5}-H_{2}O)$	225 °C	50
	LiNbO <sub>3</sub>	Si, glass	$(LiHMDS-H_2O) + (Nb(OEt)_5-H_2O)$	235 °C	51
	Li <sub>3</sub> N	Glass, Si, Ti	LiHMDS–NH <sub>3</sub>	167 °C	52
	LiSiAlO <sub>2</sub>	Si, Ge	$(TMA-H_2O) + (LiO^tBu-H_2O) + (TEOS-H_2O))$	290 °C	53

**Table 1.** Summary of anodes, cathodes, and solid-state electrolytes synthesized by ALD [27–53]

Footnotes: Room temperature–RT; Trimethyl aluminum–TMA; Tetrakis(dimethylamido)zirconium (IV)–Zr(NMe<sub>2</sub>)<sub>4</sub>; Titanium isopropoxide–TTIP; Lithium tert-butoxide–LiO'Bu; Tin(IV) tert-butoxide–Sn(O'Bu)<sup>5</sup>; hexakis(dimethylamido)digallium–Ga<sub>2</sub>(NMe<sub>2</sub>)<sub>6</sub>; Vanadyl triisopropoxide–VTOP; trimethyl phosphate–TMP; lithium hexamethyldisilazide–LiHMDS; Tantalum(V) ethoxide–Ta(OEt)<sub>5</sub>; tetraethyl orthosilane–TEOS; stainless steel–SS; Anodic Aluminum Oxide–AAO; Nitrogen-doped carbon nanotubes–NCNTs.

 $LiMn_2O_4$  [43], and  $LiFePO_4$  [44]), which has benefited from the success of the ALD process for Li<sub>2</sub>O (or Li<sub>2</sub>CO<sub>3</sub>) [58]. These lithiated cathodes were deposited by combining a Li<sub>2</sub>O subcycle with another one or two binary oxide ALD subcycles. For example, LiCoO<sub>2</sub> was grown at 325 °C from ALD subcycles of Co<sub>3</sub>O<sub>4</sub> (CoCp<sub>2</sub>-plasma O<sub>2</sub>) and Li<sub>2</sub>CO<sub>3</sub> (LiO<sup>t</sup>Bu-plasma O<sub>2</sub>) in a remote plasma ALD system [42]. The  $LiCoO_2$  film yielded a growth rate of ~0.06 nm/cycle when using a recipe of four cycles of Co<sub>3</sub>O<sub>4</sub> for each cycle of Li<sub>2</sub>CO<sub>3</sub>. A crystalline LiCoO<sub>2</sub> film was obtained after annealing at 700 °C for 6 min and exhibited electrochemical activity as measured in a three-electrode setup. The oxidation and reduction peaks for LiCoO2 were observed at about 3.9 V (vs Li<sup>+</sup>/Li), as seen in figure 3(a). Although the capacity of ALD-deposited LiCoO2 could be improved by tuning the Co/Li dose ratio, the electrochemical storage capacity was relatively lower than the theoretical value of  $LiCoO_2$  (figure 3(b)). Another study reported an ALD recipe of Li<sub>x</sub>Mn<sub>2</sub>O<sub>4</sub> using (Mn(thd)<sub>3</sub>-O<sub>3</sub>) and (Li(thd)-O<sub>3</sub>) subcycles [43]. An interesting finding in this study was that  $ALD-MnO_2$ and ALD-V<sub>2</sub>O<sub>5</sub> could be transformed into Li<sub>x</sub>Mn<sub>2</sub>O<sub>4</sub> and Li<sub>x</sub>V<sub>2</sub>O<sub>5</sub>, respectively, by using Li(thd)-O<sub>3</sub> or LiO<sup>t</sup>Bu-H<sub>2</sub>O posttreatments. Moreover, the Li<sub>x</sub>Mn<sub>2</sub>O<sub>4</sub> obtained with 200-cycle LiO<sup>t</sup>Bu-H<sub>2</sub>O post-treatment exhibited 230 mAh g<sup>-1</sup> at 50  $\mu$ A (figure 3(c)) and ~190 mAh g<sup>-1</sup> at 200  $\mu$ A with stable cycling performance over 550 cycles (figure 3(d)).

Great progress was made recently in the synthesis of  $LiFePO_4$  by ALD as a high performance cathode for LIBs [44].  $LiFePO_4$  is a representative example of lithium

transition metal phosphates (LiMPO<sub>4</sub>, M=Fe, Ni, Co, Mn) and currently considered as high-power cathode material for next-generation LIBs due to its high energy density, low cost, and high safety [59, 60]. After careful tuning of the surface chemistries, amorphous LiFePO<sub>4</sub> was synthesized  $300 \,^{\circ}\text{C}$  by using an ALD sequence of  $5 \times$ at  $(FeCp_2-O_3-TMPO-H_2O) + 1 \times (LiO^tBu-H_2O)$  (figure 4) [44]. The growth rate of amorphous LiFePO<sub>4</sub> was ~0.9 nm/cycle on a Si (100) substrate. Crystalline LiFePO<sub>4</sub> was obtained on CNTs after annealing at 700 °C for 5 h in Ar. The scanning electron microscope (SEM) image in figure 5(a) shows that crystalline LiFePO<sub>4</sub> covers the majority of the CNT surface. The high resolution transmission electron microscopy (HRTEM) image of crystalline LiFePO<sub>4</sub> (figure 5(b)) exhibits clear crystal planes with a d-spacing of 0.39 nm, corresponding to the (210) planes of orthorhombic LiFePO<sub>4</sub>. More excitingly, the crystalline LiFePO<sub>4</sub> on CNTs exhibited high discharge capacity, excellent rate capability, and ultra-long cycling life, as displayed in figures 5(c) and (d). The crystalline LiFePO<sub>4</sub> delivered a discharge capacity of  $\sim$ 70 mAh g<sup>-1</sup> at 60 C (corresponding to 1 min for each full charge and each discharge process) and of  $\sim 160 \text{ mAh g}^{-1}$  at 0.1 C, which is very close to the theoretical capacity of 175 mAh g<sup>-1</sup>. Furthermore, the crystalline LiFePO<sub>4</sub> had no apparent capacity degradation at 1 C even after 2000 cycles. This work paves the way toward fabrication of LiMPO<sub>4</sub> as a next-generation high-power cathode material for LIBs.



**Figure 3.** (a) The derivative of the storage capacity with respect to the electrode potential (x = 4) and (b) the electrochemical storage capacity upon cycling showing data for ALD-deposited LiCoO<sub>2</sub> when the used Co:Li dose ratio (x) was 2 and 4 [42]. (Reproduced with permission from *J. Electrochem. Soc.*, **160**, A3066 (2013). Copyright 2013, The Electrochemical Society); (c) 10 charge-discharge cycles with 50  $\mu$ A and (d) 1000 charge-discharge cycles with 20  $\mu$ A of an 86 nm MnO<sub>2</sub> film treated with 200 cycles of LiO'Bu+H<sub>2</sub>O [43]. (Adapted with permission from *J. Phys. Chem. C* **118**, 1258 (2014). Copyright 2014 American Chemical Society).



**Figure 4.** Atomic layer deposition of amorphous LiFePO<sub>4</sub> at 300 °C using ferrocene (FeCp<sub>2</sub>), ozone (O<sub>3</sub>), trimethylphosphate (TMPO), water (H<sub>2</sub>O), and lithium *t*-butoxide (LiO'Bu). (a) Sequential pulse of FeCp<sub>2</sub> and O<sub>3</sub> leading to the growth of a Fe<sub>2</sub>O<sub>3</sub> layer (red); (b) sequential pulse of TMPO and H<sub>2</sub>O leading to deposition of a PO<sub>x</sub> layer (green); (c) steps (a) and (b) are repeated five times; (d) sequential pulse of LiO'Bu and H<sub>2</sub>O leading to formation of Li<sub>2</sub>O layer (blue). One ALD cycle for the growth of amorphous LiFePO<sub>4</sub> consists of steps (a)–(d) [44].—Reproduced with permission from Wiley.

### 2.3. Solid-state electrolytes by ALD

Recent studies have reported the fabrication of glass-type solid-state electrolytes by ALD, as seen in table 1. Other than lithium silicate and  $Li_3N$ , the solid-state electrolytes were prepared by ALD using the sub-cycle strategy as also employed in lithiated cathode materials. For example, LiTaO<sub>3</sub> was deposited at 225 °C from Li<sub>2</sub>O (LiO<sup>*t*</sup>Bu–H<sub>2</sub>O) and Ta<sub>2</sub>O<sub>5</sub> (Ta(OEt)<sub>5</sub>–H<sub>2</sub>O) with a tunable Li/Ta ratio by changing the subcycle ratio of Li<sub>2</sub>O to Ta<sub>2</sub>O<sub>5</sub> [50]. LiAlO<sub>2</sub> has been grown

from Li<sub>2</sub>O (LiO'Bu–H<sub>2</sub>O) and Al<sub>2</sub>O<sub>3</sub> (TMA–H<sub>2</sub>O) [46, 47], while lithium silicate and Li<sub>3</sub>N were produced by ALD using LiHMDS coupled with O<sub>3</sub> [49] or NH<sub>3</sub> [52]. A more complicated process was demonstrated for  $(\text{Li},\text{La})_x\text{Ti}_y\text{O}_z$ , which was deposited by combining TiO<sub>2</sub> (TiCl<sub>4</sub>–H<sub>2</sub>O), La<sub>2</sub>O<sub>5</sub> (La (thd)<sub>3</sub>–O<sub>3</sub>), and Li<sub>2</sub>O (LiO'Bu–H<sub>2</sub>O), but no ionic conductivity was reported for this solid-state electrolyte [45]. The ionic conductivities of LiTaO<sub>3</sub>, LiAlO<sub>2</sub>, and LiSiAlO<sub>2</sub> prepared by ALD are compared in figure 6. The highest ionic conductivity reported in the glassy solid-state electrolytes was



Figure 5. (a) SEM image, (b) HRTEM image, (c) rate capability, and (d) cycling stability of the annealed LiFePO<sub>4</sub>/CNTs [44].—Reproduced with permission from Wiley.



**Figure 6.** Ionic conductivities of solid-state electrolytes prepared by ALD [46, 50, 53, 62], in comparison with the commonly used LiPON solid-state electrolyte in thin film batteries [61].

in the order of  $\sim 10^{-8} \text{ s cm}^{-1}$  (LiTaO<sub>3</sub> [50] and LiSiAlO<sub>2</sub> [53]), which, however, is still relatively lower than that of LiPON (a commonly used solid-state electrolyte in thin film batteries) [61]. Nevertheless, a great advantage of ALD is the ability to prepare solid-state electrolytes in ultra-thin films to reduce internal resistance and overall battery impedance.

Recent work has shown that  $10 \text{ nm LiSiAlO}_2$  solid-state electrolytes prepared by ALD still functioned during the ionic-conductivity measurement [53]. Therefore, ALD is expected to play an important role in preparing ultrathin and functional solid-state electrolytes for 2D and 3D all-solid-state microbatteries.

# 3. Towards engineered electrode/electrolyte interfaces by ALD

Interfacial reactions always occur between the electrode and liquid electrolyte in LIBs. On one hand, the interfacial reactions are beneficial to the battery's performance when they are designable and controllable. For example, the solid electrolyte interphase (SEI) formed on the anode is critical for stabilizing the cycling performance and improving battery safety by preventing further decomposition of aqueous electrolytes. On the other hand, interfacial reactions might lead to unfavorable phenomena that can compromise the overall performance of LIBs. For instance, the SEI on the anode can consume lithium ions permanently, leading to reduced columbic efficiency of batteries [63]. For the cathode, HF generated in the electrolytes during cycling tends to dissolve the components of the cathode materials, resulting in rapid degradation of battery performance [9].



**Figure 7.** Schematic diagram of an ideal surface coating layer on the active materials. The surface coating should meet one or more of the following requirements: (i) ultrathin and complete coating; (ii) lithium-ion or electron conductivity; and (iii) good toughness.

Surface coating has proven an effective approach to alleviate these unwanted side reactions and thus improve the capacity retention, rate capability, and even thermal stability of electrode materials [9, 10, 64]. Ideally, the surface coating layer should meet one or more of the following requirements in order to achieve the optimal performance of electrode materials (figure 7): (i) ultrathin and complete coating. It is critical to fully protect the electrode while avoiding blocking the diffusion of lithium ions and electrons through the coating layer. Ultrathin coatings would help minimize the weight of the coating layer in the electrode, which does not contribute to the capacity; (ii) lithium-ion or electron conductivity (depending on the nature of electrodes) in order to facilitate the transport of lithium ions or electrons into/out of the electrode materials; and (iii) good toughness, in order to prevent the pulverization of electrode materials resulting from large volume change upon cycling. Surface coatings prepared by solution-based methods have been widely employed in LIBs [9, 10, 64]. In general, these methods involve first mixing the electrode materials with precursors then annealing the mixtures at elevated temperatures to form the desired coating material on the electrode surface. However, it is hard to get good control over the uniformity and thickness of the coating layer on the electrode material [64].

Due to its unique reaction mechanism, ALD provides an excellent solution to achieve uniform surface coatings with precisely tuned thickness on the electrode surface. Since 2010, surface ALD coatings have been extensively used to improve the performance of the anode and cathode. Tables 2 and 3 summarize ALD surface coatings on the anode [65-80] and cathode [62, 81-99], respectively. It can be seen that the performance of the anode and cathode was enhanced by ALD surface coating layers (mainly metal oxides) with thicknesses from a few angstroms to nanometers. It has also been shown that ALD surface coatings should be performed directly on the electrode, rather than electrode powders, in order to retain an electronically conductive network among the active additive, collector material, carbon and current [65, 77, 81, 82, 86, 91]. The direct coatings of metal oxides on the electrode benefit from the advantages of ALD, i.e., low deposition temperature and uniform deposition in porous substrates. The ALD surface coating process is quick (takes

### 3.1. ALD surface coatings on the anode

ALD surface coatings on the anode mainly deal with the problems resulting from the SEI due to the decomposition products of liquid electrolytes on the anode surface. On one hand, the SEI is essential to the longevity of the battery, as it passivates the electrode surface and prevents uncontrolled decomposition of electrolytes that could lead to safety issues. On the other hand, SEI consumes Li in a battery and contributes to irreversible capacity loss, which results in reduced specific capacity and low columbic efficiency. The problem resulting from SEI formation becomes more serious in anodes that undergo large volume changes during the cycling process (such as Si, Sn, and SnO<sub>2</sub>). During each cycle, the considerable volume change can break the anode and expose fresh electrode surfaces, where the formation of a new SEI occurs.

The ALD surface coatings serve as an artificial layer to reduce SEI formation on the surface of the anode (such as graphite [65-67] and  $Li_4Ti_5O_{12}$  [73-75]), thereby improving the specific capacity, rate capability, columbic efficiency, and thermal stability. For graphite anodes, ALD of  $Al_2O_3$  [65–67] and  $TiO_2$  [66, 67] surface coatings with thicknesses of 0.55-5 nm have been reported to effectively suppress SEI formation at  $\sim 0.7$  V (vs Li<sup>+</sup>/Li), thus reducing the irreversible capacity loss and improving the specific capacity and cycling stability. Thermal analysis revealed that ALD-Al<sub>2</sub>O<sub>3</sub> coating, as an 'artificial' SEI layer, was more stable than the organic SEI on graphite at high working temperatures [65]. This result suggests the prospect of using ALD metal oxide coatings on the anode to improve the battery safety. For  $Li_4Ti_5O_{12}$ anodes, ultrathin ALD Al<sub>2</sub>O<sub>3</sub> [75] or ZrO<sub>2</sub> [74] coatings (1-2 nm thick) were found to improve the specific capacity, rate capability, and columbic efficiency in an extended voltage range (0.1–2.5 V). For both graphite and  $Li_4Ti_5O_{12}$ , electrochemical impedance spectroscopy (EIS) analysis revealed greatly decreased resistance across the SEI layer by using ultrathin Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub>, and TiO<sub>2</sub> coatings, implying suppressed SEI formation on the anode. Visible evidence was provided by using an in situ scanning ion conductance microscopy (SICM) technique on MnO electrodes with and without an ALD  $Al_2O_3$  coating (figure 8) [80]. After lithiation to 0 V, the uncoated MnO had many protrusions and increased surface roughness due to the formation of SEI, while 9 Å (10-cycle) Al<sub>2</sub>O<sub>3</sub>-coated MnO showed fewer protrusions and unaffected surface roughness. The difference between bare MnO and Al<sub>2</sub>O<sub>3</sub>-coated MnO proved that an ultrathin ALD Al<sub>2</sub>O<sub>3</sub> coating alleviated the growth of SEI on the anode surface.

ALD surface coatings can reduce the serious pulverization of anode materials that undergo large volume changes upon cycling (including Si [68–72], SnO<sub>2</sub> [77], ZnO [78], Fe<sub>3</sub>O<sub>4</sub> [79], and MnO [80]), thus improving their cycling stability. To this end, ALD surface coating materials should have good toughness along with the other desirable properties

Table 2	Summary	of ALD	surface	coatings	on the	anode	[65_	801
Table Z	Summary	OI ALD	surface	coatings	on the	anoue	10.7 -	-001

Electrode material	Coating material	ALD precursors	ALD temperature	Optimal thickness and ALD cycles	Ref
graphite	Al <sub>2</sub> O <sub>3</sub>	TMA-H <sub>2</sub> O	180 °C	$\sim 0.5$ nm. 5 cycles	65
graphite	TiO <sub>2</sub>	TiCl <sub>4</sub> –H <sub>2</sub> O	RT	32–40 nm, 400 cycles	66
graphite	$Al_2O_3$	TMA-H <sub>2</sub> O	<150 °C	4–5 nm, 10 cycles	67
	TiO <sub>2</sub>	TiI <sub>4</sub> –H <sub>2</sub> O	<150 °C	3 nm, 10 cycles	
Patterned Si	$Al_2O_3$	TMA-H <sub>2</sub> O	250 °C	$\sim 2 \text{ nm}, 20 \text{ cycles}$	68
Si film	$Al_2O_3$	TMA-H <sub>2</sub> O	N/A	~5 nm, 50 cycles	69
Si nanowires	TiN	$TiCl_4-N_2$	120 °C	5 nm, 25 cycles	70
	TiO <sub>2</sub>	TTIP-O <sub>2</sub>	200°, 300 °C	10 nm, 196 cycles at 200 °C	71
Si nanotubes	TiO <sub>2</sub>	TTIP-O <sub>2</sub>	200 °C	3 nm, N/A	72
	TiN	TiCl <sub>4</sub> -N <sub>2</sub>		3 nm, N/A	
	$Al_2O_3$	TMA-O <sub>2</sub>		3 nm, N/A	
Li <sub>4</sub> Ti <sub>5</sub> O <sub>12</sub>	TiN	TiCl <sub>4</sub> -NH <sub>3</sub>	500 °C	~5.8 nm, 200 cycles	73
Li <sub>4</sub> Ti <sub>5</sub> O <sub>12</sub>	$ZrO_2$	Zr(NMe <sub>2</sub> ) <sub>4</sub> -H <sub>2</sub> O	100 °C	~1 nm, 5 cycles	74
Li <sub>4</sub> Ti <sub>5</sub> O <sub>12</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	N/A	~2.2 nm, 20 cycles	75
MoO <sub>3</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	180 °C	~4.4 Å, 4 cycles	76
SnO <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	100 °C	depends on the size of SnO <sub>2</sub>	77
ZnO	TiO <sub>2</sub>	TiCl <sub>4</sub> –H <sub>2</sub> O	500 °C	~11 nm, 200 cycles	78
Fe <sub>3</sub> O <sub>4</sub> /carbon foam	$Al_2O_3$	TMA-H <sub>2</sub> O	180 °C	$\sim 0.2$ nm, 2 cycles	79
MnO	$Al_2O_3$	TMA-H <sub>2</sub> O	150 °C	0.9 nm, 10 cycles	80

Footnote: Tetrakis(dimethylamido)zirconium (IV)-Zr(NMe<sub>2</sub>)<sub>4</sub>; Zirconium tert-butoxide-ZTB; Diethylzinc-DEZ; Not available-N/A.

(figure 7) in order to better accommodate volume expansion and contraction during cycling. The cyclic improvement by ALD surface coatings is quite obvious for this type of anode material and highly dependent on the coating material, coating thickness, and anode particle size. For example, Mitlin and co-workers compared the influence of TiO<sub>2</sub>, TiN, and Al<sub>2</sub>O<sub>3</sub> ALD coatings on the performance of Si nanotubes and found that TiO<sub>2</sub>-coated Si nanotubes exhibited the best performance [72]. Furthermore, they disclosed that Si nanotubes with both inner and external surfaces coated with a 1.5 nm  $TiO_2$  layer showed better performance than those with only one surface coated with 3 nm of TiO<sub>2</sub>. Sun et al reported that the coating thickness to achieve optimal performance in SnO<sub>2</sub> anodes varied with the size of  $SnO_2$  nanoparticles [77]. In this work, SnO<sub>2</sub> nanoparticles with three diameters (3 nm, 10 nm, and 25 nm) were chosen as model anodes, and ALD Al<sub>2</sub>O<sub>3</sub> from TMA and H<sub>2</sub>O was used as the coating layer. Electrochemical testing indicated that for 3, 10, and 25 nm SnO<sub>2</sub> nanoparticles, the optimized Al<sub>2</sub>O<sub>3</sub> coating was deposited using 2, 5, and 20 ALD cycles, respectively. Besides cycling lifetime, columbic efficiency is greatly improved in this group of anode materials by ALD surface coatings [68-72, 76, 77]. In fact, the enhanced columbic efficiency is attributed to the reduced cracking of the anode, which avoids the generation of fresh anode surfaces and thus SEI formation during each cycle.

### 3.2. ALD surface coatings on the cathode

ALD surface coatings on the cathode are mainly dealing with problems resulting from dissolution of cathode elements into the electrolyte solution and thus aim to prolong the lifetime of the battery. ALD metal oxide coatings have been shown to improve the cycling stability and energy density of LiCoO<sub>2</sub>

[81–86], Li $Mn_2O_4$  [87–90], and high-voltage Mn-containing cathode materials [62, 91–98].

LiCoO<sub>2</sub> has been a dominating cathode material in commercial LIBs because of its high theoretical capacity  $(274 \text{ mAh g}^{-1})$ , good rate capability, and safety. However, if more than half of the lithium ions (Li<sub>x</sub>CoO<sub>2</sub>, x < 0.5) are extracted at voltages above 4.2 V, Co<sup>4+</sup> will dissolve into the electrolyte, resulting in structural changes to LiCoO2 and finally performance deterioration [84]. Thus, preventing the dissolution of Co4+ at high voltages becomes the key to making full use of the theoretical capacity of LiCoO<sub>2</sub>. So far, ultrathin Al<sub>2</sub>O<sub>3</sub> [81–86], TiO<sub>2</sub> [84, 86], and ZrO<sub>2</sub> [86] coatings (<1 nm in thickness) by ALD have been found to effectively protect Co<sup>4+</sup> from dissolution into electrolytes. For example, two-cycle Al<sub>2</sub>O<sub>3</sub> coated nano-LiCoO<sub>2</sub> delivered a discharge capacity of  $133 \text{ mAh g}^{-1}$  between 3.3-4.5 V (vs  $Li^{+}/Li$ ) at a current density of 1400 mA g<sup>-1</sup>, which corresponded to a 250% improvement in reversible capacity compared to bare nano-LiCoO<sub>2</sub> [82]. The improvement by the Al<sub>2</sub>O<sub>3</sub> surface coating was more profound in nano-LiCoO<sub>2</sub> than in micro-LiCoO<sub>2</sub> [81, 82]. This was due to the increased dissolution of Co<sup>4+</sup> from nano-LiCoO<sub>2</sub> compared to micro- $LiCoO_2$ , owing to greater contact area with the electrolyte [82]. Therefore, ALD surface coatings are critical for avoiding unwanted side reactions in nanostructured cathode materials and achieving the highest possible battery performance. In comparisons of Al<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, and ZrO<sub>2</sub> coatings, ALD Al<sub>2</sub>O<sub>3</sub> coated LiCoO<sub>2</sub> showed the best cycling stability and capacity retention, while ALD ZrO2 coated LiCoO2 exhibited the best rate capability, especially at high current densities [86]. This result suggests that ALD metal oxide coatings functioned specifically in enhancing the performance of LiCoO<sub>2</sub>. Therefore, it is possible that hybrid coatings (such as Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub>) would work better

Electrode material	Coating material	ALD precursors	ALD temperature	Optimal thickness and ALD cycles	Ref.
LiCoO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	TMA–H <sub>2</sub> O	180 °C	~0.2 nm, 2 cycles	81
_	ZnO	DEZ-H <sub>2</sub> O	180 °C	$\sim 0.8$ nm, 4 cycles	
Nano-LiCoO <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	180 °C	$\sim 0.2$ nm, 2 cycles	82
LiCoO <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	180 °C	$\sim 0.2$ nm, 2 cycles	83
LiCoO <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	120 °C	N/A, 10 cycles	84, 85
	TiO <sub>2</sub>	TTIP-H <sub>2</sub> O	120 °C	N/A, 50 cycles	
LiCoO <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	150 °C	$\sim 0.2$ nm, 2 cycles	86
	TiO <sub>2</sub>	TTIP-H <sub>2</sub> O	85 °C	~0.3 nm, 2 cycles	
	$ZrO_2$	$Zr(NMe_2)_4-H_2O$	100 °C	~0.3 nm, 2 cycles	
LiMn <sub>2</sub> O <sub>4</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	120 °C	~0.9 nm, 6 cycles	87, 88
LiMn <sub>2</sub> O <sub>4</sub>	$ZrO_2$	ZTB-H <sub>2</sub> O	120 °C	$\sim$ 1.7 nm, 6 cycles	89, 90
Li[Li <sub>0.20</sub> Mn <sub>0.54</sub> Ni <sub>0.13</sub> Co <sub>0.13</sub> ]O <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	180 °C	N/A, 6 cycles	91
LiNi <sub>1/3</sub> Mn <sub>1/3</sub> Co <sub>1/3</sub> O <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	N/A	$\sim 0.2$ nm, 2 cycles	92
LiNi <sub>1/3</sub> Mn <sub>1/3</sub> Co <sub>1/3</sub> O <sub>2</sub>	Li <sub>5.1</sub> TaO <sub>z</sub>	$(\text{LiO}^{t}\text{Bu}-\text{H}_{2}\text{O}) + 5 \times (\text{Ta}(\text{OEt})_{5}-\text{H}_{2}\text{O})$	225 °C	vary with testing voltage	93
$LiMn_{1.5}Ni_{0.5}O_4^{\#}$	Al <sub>2</sub> O <sub>3</sub>	TMA-H <sub>2</sub> O	N/A	5 nm on anode, 50 cycles	94
$Li_{1,2}Ni_{0,15}Mn_{0,55}Co_{0,1}O_2^{\#}$	$Al_2O_3$	TMA-H <sub>2</sub> O	80 °C	3.4 nm, 33 cycles	95
Li <sub>1.2</sub> Ni <sub>0.13</sub> Mn <sub>0.54</sub> Co <sub>0.13</sub> O <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	150 °C	2-3 nm, 10 cycles	96
	TiO <sub>2</sub>	TTIP-H <sub>2</sub> O	150 °C	0-2 nm, 20 cycles	
0.5Li <sub>2</sub> MnO <sub>3</sub> ·0.5LiNi <sub>0.375</sub> Mn <sub>0.375</sub> Co <sub>0.25</sub> O <sub>2</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	120 °C	<3 nm, 6 cycles	97
	$ZrO_2$	$Zr(NMe_2)_4-H_2O$	100°, 150 °C		
	TiO <sub>2</sub>	TTIP-H <sub>2</sub> O	100°, 150 °C		
	LiAlO <sub>x</sub>	$(\text{LiO}^{T}\text{Bu-H}_{2}\text{O}) + (\text{TMA-H}_{2}\text{O})$	225 °C		
$Li_{1,2}Ni_{0,2}Mn_{0,6}O_2$	$Al_2O_3$	TMA-H <sub>2</sub> O	150 °C	$\sim$ 1 nm, 4 cycles	98
$LiNi_{0.5}Mn_{1.5}O_4^{\#}$	$Al_2O_3$	$TMA-H_2O$	N/A	2-cycle $LiAlO_2$ on cathode	62
	LiAlO <sub>2</sub>	$(\text{LiO}^{\prime}\text{Bu-H}_2\text{O}) + (\text{TMA-H}_2\text{O})$	225 °C		
V <sub>2</sub> O <sub>5</sub>	$Al_2O_3$	TMA-H <sub>2</sub> O	25 °C	N/A, 10 cycles	99

 Table 3 Summary of ALD surface coatings on the cathode [62, 81–99].

Footnote: All cathodes were tested using Li metal as counter electrode, unless otherwise notified. # indicates the cathode was tested using graphite as the counter electrode.



**Figure 8.** SICM topographical images after lithiation to 0 V for bare MnO (left) and MnO coated with 9 Å of Al<sub>2</sub>O<sub>3</sub> (right) [80].— Reprinted with permission from *Chem. Mater.*, **26**, 935 (2014). Copyright 2014, American Chemical Society.

than a single coating (such as  $Al_2O_3$ ) to improve the overall electrochemical properties of  $LiCoO_2$ .

ALD surface coatings have also been widely employed in high-voltage cathode materials, including LiNi1/3 Mn<sub>1/3</sub>Co<sub>1/3</sub>O<sub>2</sub> (NMC) [92, 93], LiNi<sub>0.5</sub>Mn<sub>1.5</sub>O<sub>4</sub> [62, 94], Li<sub>1.2</sub> Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> [98], Li<sub>1.2</sub>Ni<sub>0.15</sub>Mn<sub>0.55</sub>Co<sub>0.1</sub>O<sub>2</sub> [95], Li<sub>1.2</sub>Ni<sub>0.13</sub> Mn<sub>0.54</sub>Co<sub>0.13</sub>O<sub>2</sub> [96], Li[Li<sub>0.20</sub>Mn<sub>0.54</sub>Ni<sub>0.13</sub>Co<sub>0.13</sub>]O<sub>2</sub> [91], and  $0.5Li_2MnO_3 \cdot 0.5LiNi_{0.375}Mn_{0.375}Co_{0.25}O_2$  [97]. These cathode materials are being considered as replacements for LiCoO<sub>2</sub> because of their high specific capacity and high working voltage. The high working voltage (4.5-4.8 V vs Li<sup>+</sup>/ Li) can lead to high output energy density of batteries but also can cause serious problems in the cathode and electrolytes. These high-voltage cathode materials suffer from Mn dissolution into the electrolyte through a disproportionation reaction  $(2Mn^{3+} \rightarrow Mn^{4+} + Mn^{2+})$  at the cathode surface [93]. Permanent loss of Mn results in the decreased capacity of these cathode materials. Furthermore, Mn<sup>2+</sup> in the electrolytes tends to migrate to the anode and deposit on the surface of anode materials [62, 94]. The deposition of  $Mn^{2+}$  very likely blocks lithium ion transport and damages the SEI film. Ultrathin Al<sub>2</sub>O<sub>3</sub> surface coatings by ALD could greatly alleviate the dissolution of Mn, by acting as a physical barrier between cathode and electrolytes. For example, the stability of NMC at an upper cut-off of 4.5 V was greatly enhanced with just a two-cycle  $Al_2O_3$  coating (~0.2 nm thickness) [92]. Capacity retention of NMC was increased from 65% to 91% after 100 cycles at a rate of C/2. Two-cycle Al<sub>2</sub>O<sub>3</sub>-coated NMC could deliver a discharge capacity of 140 mAh g<sup>-1</sup> after 100 cycles, in comparison with  $103 \text{ mAh g}^{-1}$  for pristine NMC. Another study reported that a six-cycle Al<sub>2</sub>O<sub>3</sub> coating could improve the capacity retention of a lithium-excess Li  $[Li_{0.20}Mn_{0.54}Ni_{0.13}Co_{0.13}]O_2$  cathode [91]. An interesting finding of this work was that the stability could be further enhanced by heat treatment of six-cycle Al2O3 coated Li  $[Li_{0.20}Mn_{0.54}Ni_{0.13}Co_{0.13}]O_2$  at 300 °C in air for 3 h. The reason was attributed to the formation of a better Li<sup>+</sup> conductive phase (such as layered  $Li_{1-x}Al_{1-y}M_yO_2$ ) at the cathode surface due to inter-diffusion between atoms from the coating and the active material [91]. It should be noted that polytetrafluoroethylene (melting point: ~327 °C), rather than polyvinylidene fluoride, was used as the binder for preparing the electrode [91].



**Figure 9.** Columbic efficiency and cycling performance of  $LiNi_{0.5}Mn_{1.5}O_4$ |graphite full cells without  $Al_2O_3$  coating (black), and with  $Al_2O_3$  coating on the negative electrode only (green and red), and positive  $LiNi_{0.5}Mn_{1.5}O_4$  only (blue) [94].—Reprinted from *Electrochem. Commun.*, **32**, 31 (2013). Copyright 2013, with permission from Elsevier.



**Figure 10.** Typical TEM images of graphite anode without (a) and with (b)  $0.55 \text{ nm Al}_2O_3$  coating after cycling in LiNi<sub>0.5</sub>Mn<sub>1.5</sub>O<sub>4</sub>| graphite full cells [94]—Reproduced from *Electrochem. Commun.*, **32**, 31 (2013). Copyright 2013, with permission from Elsevier.

The above high-voltage cathode materials were tested in half cells with Li metal as the counter electrode. However, two recent studies [62, 94] have disclosed that when coupled with a graphite anode, the  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  cathode showed much worse cycling stability, discharge capacity, and columbic efficiency. It is believed that migration of  $\text{Mn}^{2+}$  and deposition on the graphite was more deleterious for cyclability than Mn loss in  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  [62, 94]. In this case, ALD Al<sub>2</sub>O<sub>3</sub> surface coatings on only the graphite anode were more effective than on only the  $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$  cathode or on both electrodes in improving the performance of



**Figure 11.** Schematic diagrams of (a) solid-state electrolyte coating and (b) metal oxide coating. The former is expected to provide higher lithium ion conductivity than the latter [93]—Reproduced by permission of The Royal Society of Chemistry.

LiNi<sub>0.5</sub>Mn<sub>1.5</sub>O<sub>4</sub> in full cells. As seen in figure 9, when only the graphite anode was coated with 5 nm Al<sub>2</sub>O<sub>3</sub>, LiNi<sub>0.5</sub>Mn<sub>1.5</sub>O<sub>4</sub> exhibited a discharge capacity of ~90 mAh g<sup>-1</sup>, which was double than that of uncoated cells and only LiNi<sub>0.5</sub>Mn<sub>1.5</sub>O<sub>4</sub> coated with Al<sub>2</sub>O<sub>3</sub> [94]. A similar trend was also reported by Park *et al* [62], using ALD Al<sub>2</sub>O<sub>3</sub> and LiAlO<sub>2</sub> surface coatings. Moreover, transmission electron microscopy (TEM) (figure 10) [94] and x-ray absorption near edge structure (XANES) [62] analysis proved that the Mn and/or Ni concentrations on uncoated graphite were dramatically higher than those on only graphite coated with ALD-Al<sub>2</sub>O<sub>3</sub>. These results suggest it is necessary to use full cells to evaluate the influence of ALD surface coatings on the performance of high-voltage Mn-containing cathode materials.

A new approach to using ALD surface coatings is to develop new coating materials with desirable properties, as described in figure 7. Since metal oxides (such as Al<sub>2</sub>O<sub>3</sub> and ZrO<sub>2</sub>) are generally poor ionic and electronic conductors, solid-state electrolytes have been proposed as a lithium ionconducting coating layer to replace metal oxides (figure 11). Proof-of-concept work has been done by using a lithium tantalate solid-state electrolyte prepared by ALD as a coating layer to improve the performance of NMC at high voltages [93]. Lithium tantalate was deposited at 225 °C using an ALD recipe of  $(LiO^{t}Bu-H_{2}O) + 5 \times (Ta(OEt)_{5}-H_{2}O)$  and showed an ionic conductivity of  $2 \times 10^{-8}$  s cm<sup>-1</sup> at RT [50]. Electrochemical testing indicated that the cycling stability and rate capability of NMC was greatly improved with lithium tantalate surface coating, especially at high cutoff voltages up to 4.8 V and a high working temperature (55 °C) [93]. When cycled between 3.0 and 4.8 V, 10-cycle LiTaO<sub>3</sub>-coated NMC delivered a discharge capacity of  $122 \text{ mAh g}^{-1}$  after 100 cycles, in comparison with 59 mAh  $g^{-1}$  for bare NMC. At 55 °C, NMC coated with five-cycle LiTaO<sub>3</sub> showed a discharge capacity of  $167 \text{ mAh g}^{-1}$  after 100 cycles, corresponding to a 92% capacity retention.

### 4. Perspectives of future work

### 4.1. ALD of electrode materials and solid-state electrolytes

A few promising anode materials, such as Si and Sn, haven't been synthesized by ALD for battery application so far. The possibility of using ALD to prepare Si nanoparticles much smaller than their critical breaking size might provide a good solution to the large volume change in Si upon cycling, which is the main reason for the rapid degradation of battery performance [63, 100]. For the cathode, many lithiated materials, including high-voltage cathodes (such as  $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$  and  $\text{LiNi}_{1.5}\text{Mn}_{0.5}\text{O}_4$ ) and other members of  $\text{LiMPO}_4$ , are to be developed by ALD and tested in 2D or 3D substrates for future all-solid-state microbatteries. Moreover, it is imperative to develop solid-state electrolytes having competitive ionic conductor (NASICON) structured electrolytes ( $\text{LiM}_2^{\text{IV}}(\text{PO}_4)_3$ ,  $\text{M}^{\text{IV}} = \text{Ti}$ , Zr, Ge, and Hf) [101].

The subcycle-strategy used for the fabrication of lithiated cathodes and solid-state electrolytes involves complicated surface reactions in each ALD cycle, making it very challenging to develop ALD processes and to control the composition of target materials. Therefore, it is essential to simplify the ALD process for lithium-containing materials. One promising way is to develop double metal alkoxides of lithium precursors, which have desirable element ratios for the target materials [102].

### 4.2. ALD of surface engineering

From sections 3.1 and 3.2, we can clearly see the effectiveness of ultrathin surface coatings by ALD in improving the performance of both the anode and cathode in LIBs. Then the questions arise, why and how do ultrathin surface coating layers by ALD make such a big difference in the battery performance? Are there better coating materials than the commonly used metal oxides? To answer these questions, therefore, it is imperative to investigate the structural and compositional changes of the ALD surface coating layers before and after cycling.

Let's take the widely used ALD  $Al_2O_3$  coating as an example. Analysis by XANES spectroscopy [77], x-ray photoelectron spectroscopy (XPS) [69, 73], time-of-flight secondary ion mass spectrometry (TOF SIMS) [72, 95], and electron energy loss spectroscopy (EELS) [103] have disclosed that the  $Al_2O_3$  coating layer changes to Li-Al-O glass (with possible AlF<sub>3</sub> and  $Al_2O_3$ ) during cycling. Li-Al-O glass is an ionic conductor, which can facilitate the transport of lithium ions. This information promoted the use of ALD solid-state electrolytes (LiTaO<sub>3</sub> [93] and LiAlO<sub>2</sub> [62]) directly as coating layers on the electrode. Moreover, *in situ* TEM observation revealed that the Li-Al-O glass layer remained intact during cycling [103]. The mechanically robust Li-Al-O

glass layer was believed to be a main reason for the improved performance of electrode materials with large volume change (such as Si, Sn, and SnO<sub>2</sub>). From the above results, we can see that the properties of ALD surface layers are critical to reaching the optimal performance of electrode materials. A better understanding is still lacking for other ALD coating materials such as  $ZrO_2$  and TiO<sub>2</sub>.

One direction of ALD surface coatings is to use new coating materials that have been proven effective in solutionbased methods, such as metal phosphates (AlPO<sub>4</sub>, TiPO<sub>4</sub>, and FePO<sub>4</sub>) [9]. AlPO<sub>4</sub> has been shown to be superior to metal oxides as a coating layer in cathode materials, due to the strong covalency of PO<sub>4</sub> polyanions with the Al<sup>3+</sup> in AlPO<sub>4</sub> [104]. ALD recipes for metal phosphates have been developed [105–107]. Other types of potential coating materials are ionic and/or electronic conductors, which are expected to prevent unwanted side reactions and at the same time improve diffusion of lithium ions and/or electrons through the coating layers.

At present, the coating materials are mainly inorganic films prepared by ALD. Recently, molecular layer deposition (MLD), an analog to ALD, is emerging as a new technique to achieve uniform and conformal deposition of organic and hybrid organic-inorganic (metalcone) thin films [108, 109]. In a recent study, alucone films prepared by MLD from TMA and glycerol were found to greatly improve the cycling stability, rate capability, and columbic efficiency of Si anodes [110]. It was believed that the alucone coating layer was robust and resilient enough to accommodate the volumetric changes of the Si anode. MLD provides a great variety of organic and hybrid organic-inorganic films [108, 109] that could be adopted as surface coatings for LIBs. Therefore, MLD is expected to play an important role in providing more versatile and effective surface coating materials in the future.

### 5. Conclusions

ALD has been shown to be a powerful technique for designing a variety of nanostructured electrode materials and solid-state electrolytes as well as depositing ideal surface coating layers on the electrode to improve the battery performance. Great advances have been made in the fabrication of lithium-containing cathode materials and solid-state electrolytes, which are key components for building all-solid-state microbatteries. Due to its exclusive advantages, ALD is an ideal technique to achieve desirable surface coating layers on the electrode of LIBs. The ultrathin surface coatings enabled by ALD can greatly improve the specific capacity, cycling stability, rate capability, and thermal stability of the anode and cathode materials. New coating materials by ALD or MLD have emerged as promising replacements for metal oxides, including solid-state electrolytes, conductive polymer, and hybrid organic-inorganic films. Furthermore, the excellent control over the quality of coating layers and the straightforward coating process promise ALD great potential for industry-level applications in the future.

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