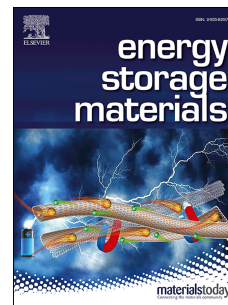


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Probing the Electrochemical Evolutions of Na-CO₂ Nanobatteries on Pt@NCNT cathodes using In-Situ Environmental TEM

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Probing the Electrochemical Evolutions of Na-CO₂ Nanobatteries on Pt@NCNT cathodes using *In-Situ* Environmental TEM

Abstract

Na-CO₂ batteries possess many virtues including low cost, abundant sodium-containing resources, and environment-friendly nature. Understanding the electrochemical reaction processes is fundamental for battery design and performance enhancement of Na-CO₂ batteries. Using *in-situ* environmental transmission electron microscopy in CO₂ gas, we directly probed the morphology evolution and phase transformations of the charge and discharge products with single Pt atom and nitride doped carbon nanotube (Pt@NCNT) cathode in a Na-CO₂ nanobattery. The discharge reaction produces Na₂CO₃ and carbon, which subsequently decomposed into Na ions and CO₂ during charge. The discharge rate was boosted with the help of the single-atom Pt catalyst. Our work provides a fundamental insight into the governing principles on Na-CO₂ battery design for better energy storage devices.

Key words: Na-CO₂ nanobattery; *In Situ* ETEM; Single atom catalyst; Electrochemical evolution; structure evolution

1. Introduction

To fulfill the ever-increasing energy demand and alleviate the greenhouse effect, the development of green energy storage has enticed many scientists to invent more powerful batteries going beyond regular Li-ion batteries[1,2]. The high theoretic energy density of alkali (Li, Na, K) metal-air battery system[3,4] makes them attractive options for next-generation batteries. However, parasitic products, reversibility issues, and lack of efficient catalysts in Li- and Na-O₂ batteries limit their cycle life[5]. Further, the impurities of oxygen also lead to the formation of irreversible carbonates and hydroxide in Li- and Na-O₂ batteries[6–10].

Archer et al created metal-CO₂ batteries and increased its efficiency to be higher than Li-O₂ battery[11]. Besides, the consumption of carbon dioxide in metal-CO₂ batteries also helps alleviate the crisis of global warming. Recently, numerous works focus on the development of electrolyte and electrode materials to increase cycling life and theoretical energy density[12–16]. Using O₂ and CO₂ as air cathodes results in different reaction steps and discharge products [10,17]. Some researchers reported that a mixed atmosphere of oxygen and carbon dioxide can enhance the performance of metal-air batteries [5,11,18]. But only a few works pay attention to the understanding of electrochemical reactions between metals and CO₂ at nanoscale and exploration of underlying fundamental reaction mechanisms[19–22]. In-situ characterization techniques are important to investigate its electrochemical processes, which allows us to observe the reaction process directly and get to details of reaction products[23–25].

Here, we used in-situ environmental transmission electron microscopy (ETEM) to monitor Na-CO₂ nanobatteries to unveil the electrochemical reaction products and probe the reversibility of the reactions. To promote the discharge/charge process, the single atom Pt doped NCNT was used as the cathode. The Na-CO₂ nanobattery was constructed by using single platinum atom on nitrogen-doped carbon nanotube (Pt@NCNT) as air cathode, and metal sodium (Na) as ion source in CO₂ atmosphere. Morphology evolution during the discharge/charge process was recorded and reaction products were also identified using selected area electron diffractions (SAED). To minimize the damage of the electron beam, all experiment was conducted at an accelerating voltage of 80 kV in the ETTEM. Furthermore, the usage of single platinum atom catalysts on NCNT as cathode support material in present work is designed to promote the cycling performance of Na-CO₂ batteries.

2. Result and Discussion

The low and high magnified morphologies of Pt@NCNT in Fig. 1(a) and 1(b) confirmed the presence of high density of single Pt atoms (as highlighted in red circles in Fig. 1b). Elements distribution of pristine Pt@NCNT were shown in Fig. S1. Fig. 1c illustrates a schematic setup of the Na-CO₂ nanobattery. The Na-CO₂ nanobattery was constructed by a metal sodium anode glued on a tungsten tip, a Na₂O thin layer grown on Na metal surface as solid electrolyte, and Pt@NCNT as cathode under 1 *mbar* CO₂ atmosphere, as depicted in Fig. 1c. The incorporation of two electrodes was conducted in an STM holder. Detailed information about assembling procedures of this Na-CO₂ nanobattery was described in the method section.

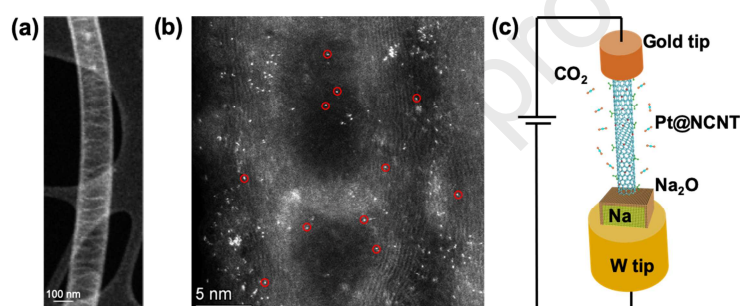


Fig. 1. STEM-HAADF images of Pt@NCNT at low magnification (a) and high magnification (b). (c) schematic figure of constructed Na-CO₂ nanobattery in ETEM. Red circle in Fig. 1b shows the presence of single Pt atoms.

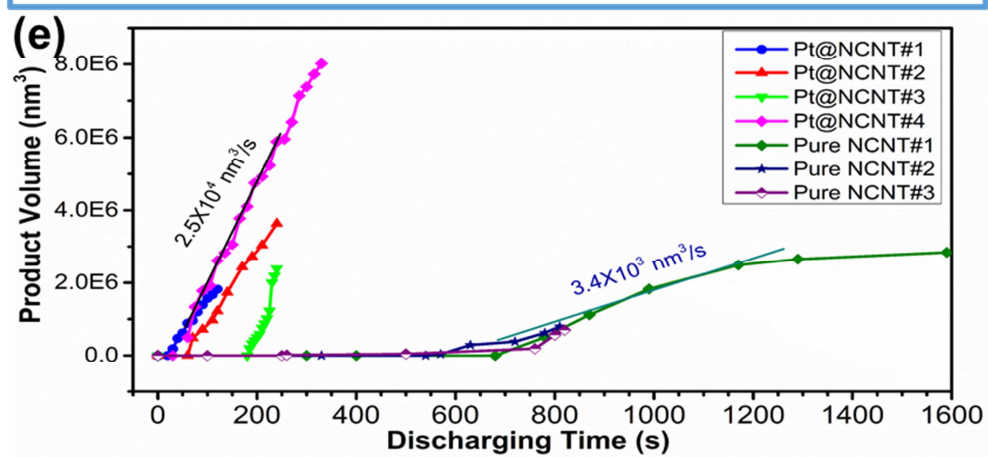
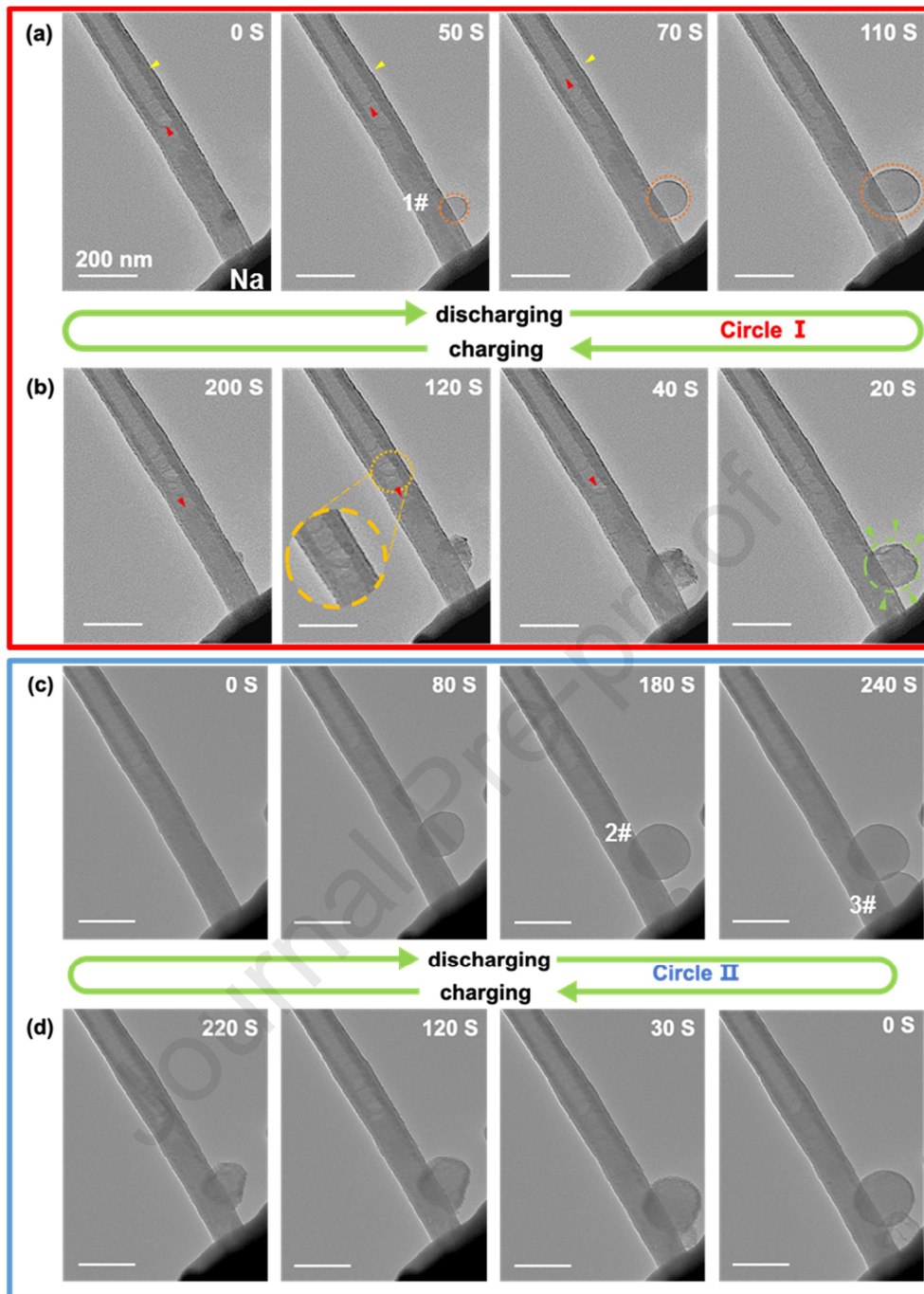


Fig. 2. Morphology evolution of a single platinum atom doped NCNT (Pt@NCNT) during discharge (a) and charge (b) electrochemical process in Na-CO₂ nanobattery (circle I); (c, d) discharge and charge evolution during circle II. All scale bars represent 200 nm. White mark 1#, 2#, 3# refers to the numbers of reaction products. (e) Growth rate of discharge products (ball) during discharge.

Fig. 2 shows the morphology evolutions during the discharge/charge process, which can also be viewed in Movie S1. At the beginning of discharge, sodium ions first intercalate into Pt@NCNT and the reaction front is labeled by the red arrows in Fig. 2a. It indicates the NCNT can serve as a sodium ion conductor as well as a good electron conductor. Additionally, sodium ion transportation is not only observed in the inner part of NCNT but also on its surface (yellow arrow in Fig. 2a), which causes nearly 10 nm increasing to a lateral thickness of NCNT. After about 40 seconds, intercalation rates of Na ions into NCNT decreased and a nanoscale ball-shaped discharge product nucleated and grew (orange circle in Fig. 2a). Interestingly, the ball-product was not grown at the triple interface of NCNT, CO₂, and Na, but on the surface of Pt@NCNT. This phenomenon confirms that the discharge reactions between Na and CO₂ cathode can take place on the surface due to the highly conductive Na⁺ ion transport of Pt@NCNT. Fig. 2b shows the charge process of the nanobattery with a reversed voltage (+1 V) and the discharge product ball on the surface shrank gradually until entirely disappeared. Furthermore, Fig. 2c and 2d show the second discharge/charge cycle of the same Pt@NCNT-Na nanobattery. Similar to the first cycle, the discharge product emerged on the surface of NCNT and decomposed during the charge process. It is noted that the discharge/charge process can repeat several times, confirming the repeatability of such Na-CO₂ nanobattery using such designed air cathode (Movie S2-S3 and Fig. S2-3). The growth rate of the ball-shaped product was calculated and plotted in Fig. 2e. The blue, red and green lines represent the growth curves of discharge products in the Pt@NCNTs for discharge reaction product 1#, 2# and 3#, respectively (observed in Fig. 2 and Movie S1). The magenta line shows the growth rate of the discharge product (4#) in Fig. S2 and Movie S2.

In comparison, in-situ experiments of the Na-CO₂ nanobattery using pure NCNT without Pt catalysts were conducted and the morphology evolutions were captured in the Fig. S4-S6 and Movie S4. The pure NCNT air cathodes exhibited similar product formation and evolution with the Pt@NCNT air cathode. However, the discharge and charge rate were much lower. The olive, dark blue and purple line refers to the discharge growth curve of the product on the pure NCNT in Fig. 2e, about one order

slower than that of the NCNT with single-atom Pt catalyst. The calculated growth rate of reaction product balls is linear with time, revealing a diffusion-controlled growth mechanism of the discharge products in this Na-CO₂ nanobattery[22]. The real discharge growth rate is $\sim 2.5 \times 10^4$ nm³/s for Pt@NCNT cathodes, about one order magnitude higher than that of the pure NCNT ($\sim 3.4 \times 10^3$ nm³/s), indicating that the Pt single-atom catalyst can greatly boost the reaction rate of this electrochemical reactions in the Na-CO₂ nanobatteries.

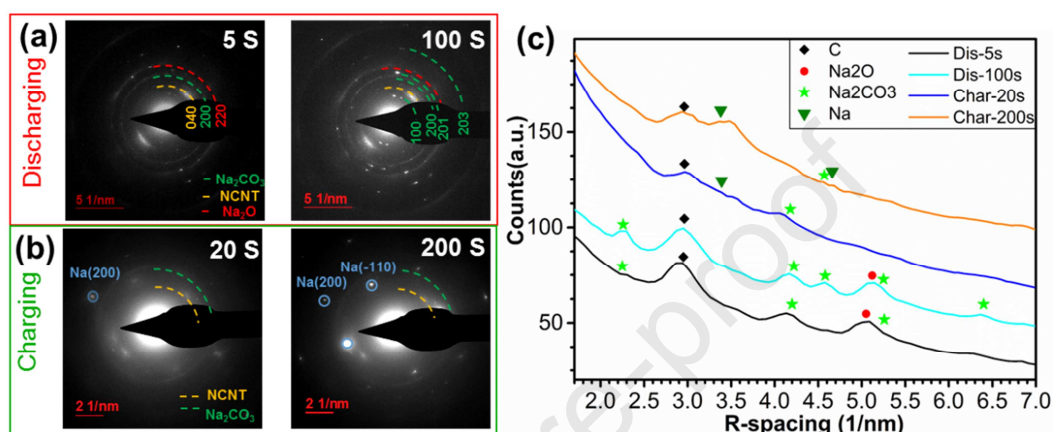


Fig. 3. Reaction and structural analysis of the Na-CO₂ nanobattery. Selecting area electron diffraction pattern (SAEDP) of the reaction products at the surface of NCNT during the cycling, (a) Discharge process and (b) Charge process. (c) Profiles of the integral intensity of the SAED patterns in (a) and (b) over time.

Crystalline structure of the reaction product during discharge and charge in Na-CO₂ nanobattery was *in-situ* recorded using selected area electron diffraction patterns (SAEDPs) (Fig. 3a, b). The selected sample areas for SAED during discharge/charge were shown in Fig. S7. Diffraction rings of NCNT (004) plane and Na₂O (200) plane were observed in SAEDP of the pristine NCNT before discharge (left of Fig. 3a). The SAEDPs of the NCNT and product balls during discharge for 100s shows a series of diffraction rings indexed as the NCNT (004) and Na₂CO₃(100), (200) and (301) crystal planes, indicating the formation of Na₂CO₃ during discharge process (Fig. 3a, right). During charge, the product began to shrink and corresponding SAEDPs evolved to be body center cubic sodium metal phase (Na (200) and (-110) spots), which indicates the decomposition of Na₂CO₃ products to metal Na in Fig. 3b. The weak rings of reaction product Na₂CO₃ slightly remain in the SAEDPs, showing the residual Na₂CO₃ present in NCNT. Fig. 3c shows the corresponding profiles of integral intensity of the SAEDPs in Fig. 2a&b, which directly compares the reaction products in different stages of the electrochemical reaction. Therefore, during the discharge evolution, our SAEDPs proved that the discharge reaction to form Na₂CO₃ product at the surface of Pt@NCNT as: $4\text{Na}^+ + 4e^- + 2\text{CO}_2 \rightarrow \text{Na}_2\text{CO}_3 + \text{C}$. The

charge process consists of the decomposition of the Na_2CO_3 ball into Na at the surface and de-intercalation of Na ion in NCNT, which corresponds to the reactions as reported in the Na- CO_2 batteries: $2\text{Na}_2\text{CO}_3 + \text{C} \rightarrow 4\text{Na} + 3\text{CO}_2$. [26].

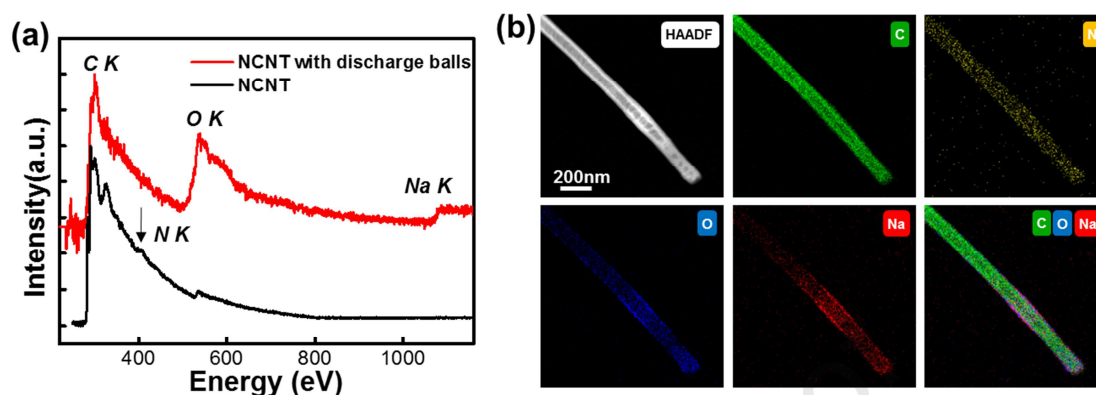


Fig. 4. Chemical analysis of discharge products on NCNT. (a) electron energy loss spectrum of pristine NCNT (black) and discharge product area including the reaction balls (red).. (b) EDS mapping of NCNT after discharge/charge processes.

To further confirm the result of SAED analysis. The structure and composition identity of the discharge product on the surface of the NCNT is tracked by electron energy loss spectroscopy (EELS) and energy dispersive spectroscopy (EDS). Detailed experiment conditions can be found in the experimental section. Through the fine structure of the EELS, we further verify the composition and valence state changes in the pristine and discharged NCNT including the reaction balls. The spectrum of pristine NCNT (black spectrum in Fig. 4a) shows a core-loss peak of carbon K edge at 284.4 eV. The $N K$ edge is also characterized by a major peak at 406.8 eV, while a small oxygen K edge is also observed at 537.2 eV, which is corresponding to a little hydroxide radical at the surface of NCNT. It confirms the purity NCNT before discharge. After discharge, the EELS (Redline) in Fig. 4b shows the presence of core-loss peaks ascribed to the $\text{Na } K$ edge at 1078 eV, carbon K edge and oxygen K edge. The pronounced $O K$ edge at 538.5 eV after discharge is consistent with the formation of Na_2CO_3 . $C K$ edge also observed in EELS after discharge reaction by a major peak at 286.0 eV with a chemical shift of about +1.6 eV towards higher energy compared to the original $C K$ edge in CNT. The intensity of the second peak in carbon K edge also increased significantly. Considering the high intensity of $O K$ edge, $\text{Na } K$ edge, and the high valence state of carbon, we deduce the formation of Na_2CO_3 as the discharge products. Our EELS analysis is in good agreement with SAEDPs results as shown in Fig. 3b. Fig. 4b presents the EDS mapping of NCNT after discharge-charge

processes and residual discharge products were observed on the surface of NCNT, which again proves that the reaction products contain Na, C and O elements.

To confirm the rechargeability and stability of our nanobattery, more discharge/charge cycles were conducted. Fig. 5 shows periodical voltage variations against a Pt@NCNT cathode and corresponding dynamic movies can be found in Movies S5-6. By controlling the displacement of tungsten tips, we can separate and rebuild the connection between the sodium anode and Pt@NCNT cathode. Fig. 5a-5b is discharge-charge circles I and II of this Na-Pt@NCNT electrode with an obtuse angle, the reaction products emerge during discharge and decomposed at charge process. Fig. 5c-5d shows the subsequent circles III and IV of the same Na-Pt@NCNT with intentionally rebuilt connection of Na source and Pt@NCNT, where the electrochemical morphology evolutions are the same compared to the previous observations in Fig. 5a-b. It demonstrated that our Na-CO₂ nanobattery can circling stably at different connection conditions of the Na-Pt@NCNT.

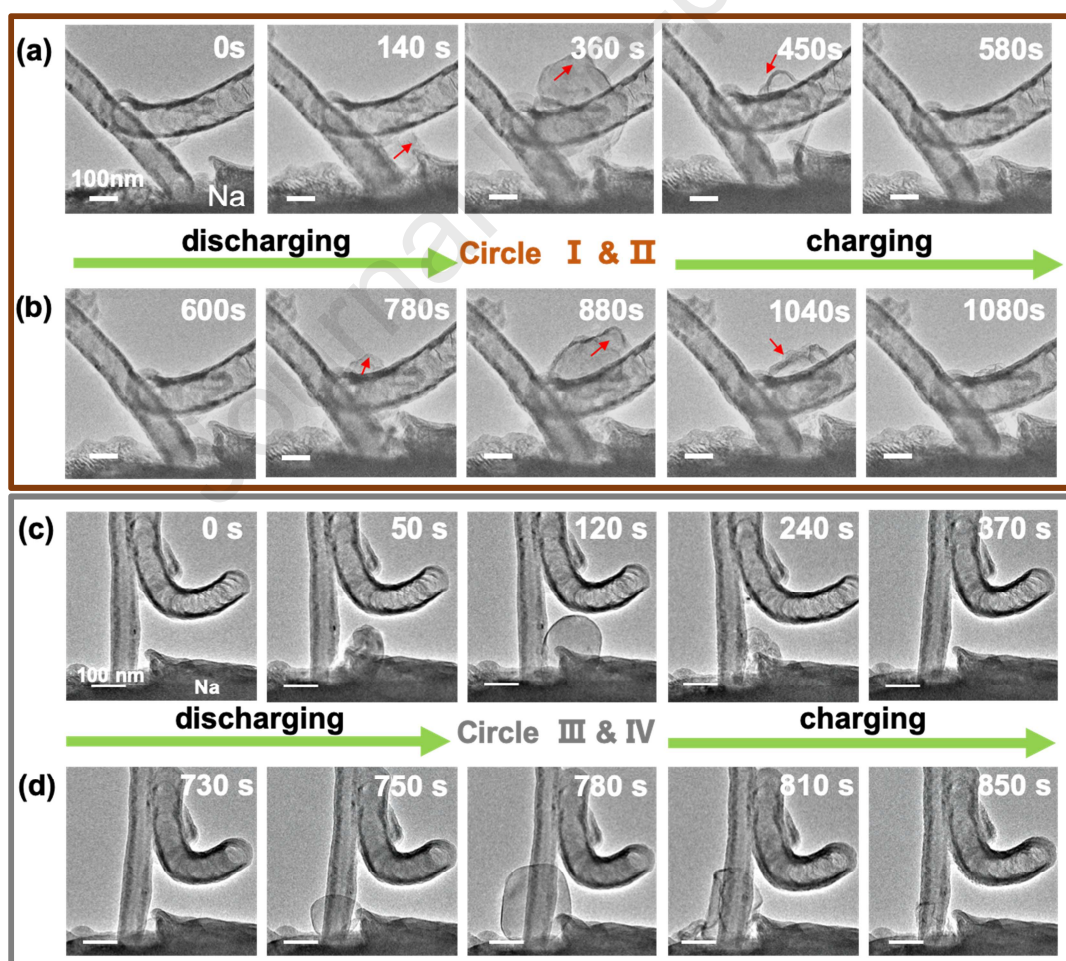


Fig. 5. Repeatability morphology evolution of discharge/charge process with different contact angle between sodium anode and Pt@NCNT cathode. (a-b) the discharge-charge circle I (a)

& II (b) under contact angle α (larger than 90 degrees). (c-d) the discharge-charge cycle III (c) & IV (d) under contact angle β (right-angle). Scale bar, 200 nm.

Based on *in-situ* ETEM experiments, a schematic drawing in Fig. 6 can illustrate the reaction mechanism during the discharge/charge electrochemical process. The simplified nanobattery consists of metal Na, Na₂O solid electrolyte, a single NCNT loaded with platinum atoms and a CO₂ atmosphere. When applying a negative voltage to Pt@NCNT side, the discharge was initiated through the intercalation of Na⁺ ions into the NCNT and also transportation of Na⁺ on the surface. After discharge for a while, the intercalation rate slows down, and then the Na⁺ reacts with CO₂, leading to the formation of Na₂CO₃ at the surface of the NCNT. The Na₂CO₃ ball emerged on the surface of Pt@NCNT, in which the single platinum atom catalyst may play important roles. Not only that, some works confirmed the doping of the single atom catalyst could accelerate the electrochemical process and improve the stabilities of Na-Air batteries[23,27].

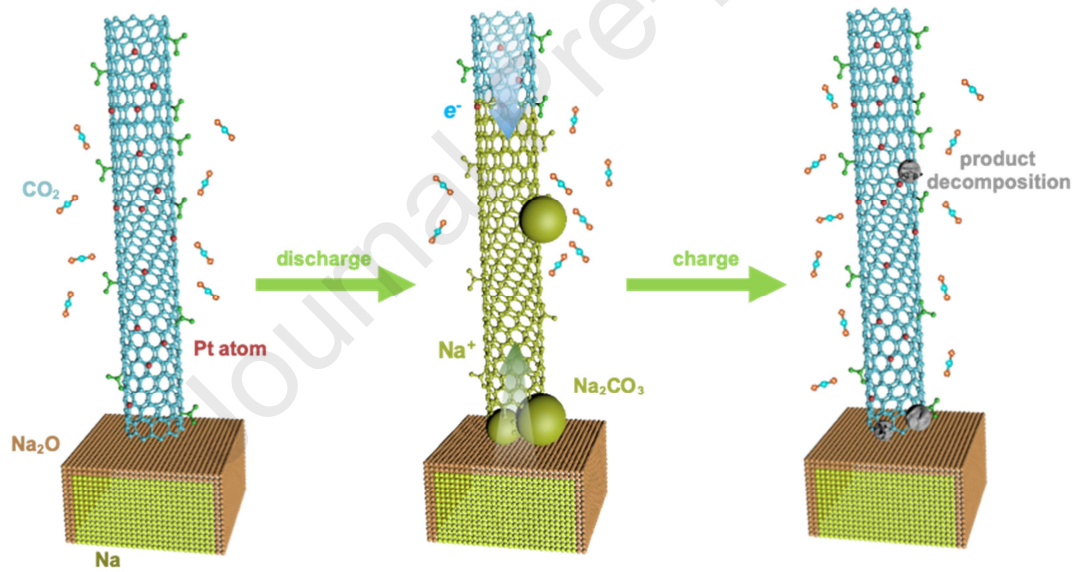
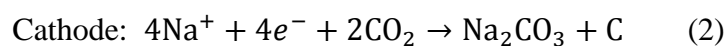
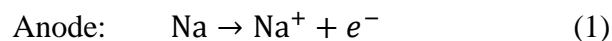
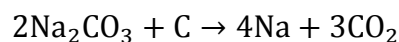


Fig. 6. schematics for the discharge/charge electrochemical processes in the Na-CO₂ nanobattery.

During discharge, Na⁺ firstly comes across the layer of Na₂O electrolyte, at the same time, electrons were transported in opposite direction in outside circuits. The Na⁺ and electrons react with CO₂ molecules following routes as follows[26]:



During charge, the reaction product is likely decomposed to Na metal with the consumption of carbon on NCNT, the charge reaction takes place as:



Please note that it is difficult to differentiate the carbon product from reactions with NCNT. Nevertheless, the *in-situ* ETEM provides evidence and a plausible explanation of the above reaction mechanism.

To validate the electrochemical processes, the real button cells using the same single Pt@NCNTs as air cathodes were assembled and the measured results were shown in Figure S8. The assembled Na-CO₂ cell with Pt@NCNT cathode exhibits a typical discharge plateau around 1.5 V (Fig. S8a), indicating the formation of Na₂CO₃ according with *in-situ* TEM data and previous reports. CV results present the significantly enhanced reduced and evolved current density of CO₂ (Fig. S8b) by the modification of monatomic Pt, demonstrating the critical role of monatomic Pt in the electrochemical reaction.

To demonstrate the effect of the CO₂ atmosphere, a controlled experiment was conducted in a vacuum without CO₂ gases in Fig. S9. No ball-shaped products were observed during the discharge process. Therefore, the reactions observed in this paper are real Na metal-CO₂ battery reactions.

Furthermore, to investigate the possible functional difference between Pt particle catalyst and single atom catalyst on the NCNT, NCNT decorated with Pt particles catalyst were used in our *in-situ* TEM observation in CO₂ atmosphere. Fig. S10 shows the morphology evolution of Na-CO₂ nanobattery using NCNT with Pt particles as the air cathode. Red circles in Fig. S10a label the presence of Pt particles on NCNT and red arrows in Fig. S10b-h indicates the growth/decomposition orientations of reaction products. These reaction products are similar with Pt single atom catalyst on NCNT in terms of ball-shaped products, morphology evolution, and reaction rates. However, Pt single atom on NCNT uses less precious Pt, largely reducing the consumption of platinum.

In addition, beam effects have to be considered in TEM observations of energy storage materials. The knock-on damage caused by high-voltage electrons may lead to decomposition of products or arrest of product development if high doses of electrons are used. In order to avoid the beam effect, we decrease electron beam voltage to 80 kV and a low dose rate ($<0.5 \text{ e} / \text{\AA}^2\text{s}$) during *in-situ* experiments. In these conditions, we observe no significant contribution of electron beam on our battery reactions. In contrast, we also intentionally acquire *in-situ* movies with high magnifications and high dose rate ($\sim 2.0 \text{ e} / \text{\AA}^2\text{s}$) of electron beam in Movie S7. Many small ball-shaped products formed on the Pt@NCNT, however, these ball-shaped products did not grow as large as other low-dose experiments. In addition, high electron dosage led to faster decomposition of products (Na₂CO₃) as observed in the *in-situ* nanobattery. In

conclusion, electron beam effects have to be controlled in the *in-situ* TEM experiments.

3. Conclusions

In conclusion, repeatable discharge/charge cycles of Na-CO₂ nanobattery on the Pt@NCNT were directly imaged using *in-situ* ETEM. The fundamental reaction mechanism was revealed using SAEDPs and EELS analysis. During discharge, Na₂CO₃ reaction balls formed on the surface of Pt@NCNT. During charge, Na₂CO₃ products decomposed into Na metal and CO₂. We also showed the single Pt single atom doped NCNT are an efficient catalyst for Na-CO₂ batteries. The circling stability of our *in-situ* Na-CO₂ nanobattery was demonstrated at different connection conditions of the Na-Pt@NCNT. Our results provide an in-depth understanding of the working mechanism of Na-CO₂ battery, inspiring us to come up with novel designs of environmentally beneficial Na-CO₂ battery and catalysts.

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Experimental procedures

Detailed experimental section is provided in the Supplemental information.

Appendix A. Supplementary information

Supplementary data associated with this article can be found in the online version at xxx.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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